# The Importance of Being Recurrent for Modeling Hierarchical Structure

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- How does this paper fit into the existing literature?
  - RNN's & their drawbacks
  - Vaswani et al 2017
  - RNNs vs Transformers
- Tasks & results
  - Subject verb agreement
  - Logical inference
- Discussion



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# Drawbacks of RNN's

- For a good bit RNN's (2014ish 2017ish) reigned supreme in NLP, in particular bi-LSTM with attention
  - e.g. Enhancing Sentence Embedding with Generalized Pooling (<u>Chen & Ling 2018</u>), which has the current SOTA for sentence-embedding based models on The Stanford Natural Language Inference (SNLI) Corpus
- But there are some problems with RNN's...
  - RNN's require a lot of memory bandwidth & you thus need a relatively small batch size (esp for inference)
  - Because of the sequential nature of training, it's hard to parallelize training over the entire sequence length (but see <u>Parallelizing Linear Recurrent Neural Nets Over Sequence Length</u> by Martin & Cundy, n.d.)
  - As a result, they've gained a bit of a reputation for being "inefficient and not scalable"



# "Attention is all you need"

- <u>Vaswani et al 2017</u>, at NeurIPS, proposed a feed-forward self-attention model they called a transformer
- There are no sequential dependencies in training, so can be parallelized very efficiently
- Transformer models are currently state of the art for machine translation
  - Weighted Transformer Network for Machine Translation (Ahmen et al 2017)





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  translation
  Based on BLEU which is











Figure 1: Diagram showing the main difference between a LSTM and a FAN. Purple boxes indicate the summarized vector at current time step t which is used to make prediction. Orange arrows indicate the information flow from a previous input to that vector.



Effective Approaches to Attention-based Neural Machine Translation, Luong et al 2015



The Illustrated Transformer, Jay Alammar



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#### • Tasks

- Subject verb agreement
- Logical inference (entailment)
- Models
- Results
- Discussion



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# **Hierarchical Structure**

- All language (human or computer) contains hierarchical structure and recursion
  - "I saw the person with the binoculars"
  - "I put the keys on the stand, on the table, by the couch, next to the desk..."
- Linguists care about this A Lot & it's also important for human-level performance in NLP tasks
- Traditionally included via explicit, often handbuilt representations (treebanks, dictionaries, etc.)





## Subject-Verb Agreement

Table 1: Examples of training and test conditions for the two subject-verb agreement subtasks. The full input sentence is "The **keys** to the <u>cabinet</u> **are** on the table" where verb and subject are bold and intervening nouns are underlined.

	Input	Train	Test
(a)	the keys to the cabinet	are	p(are) > p(is)?
(b)	the keys to the cabinet	plural	plural/singular?

## Subject-Verb Agreement

Table 1: Examples of training and test conditions for the two subject-verb agreement subtasks. The full input sentence is "The **keys** to the **c**<sup>------</sup>**re** on the table" where verb and subjective the **c**<sup>----</sup>**re** intervening nouns are underlined

	Input	Train	morphology (like French, Turkist
(a)	the keys to the cabinet	are	Japanese)
(D)	the keys to the cabinet	plural	plu

Hyperparameters: To allow for a fair comparison, we find the best configuration for each model by running a grid search over the following hyperparameters: number of layers in  $\{2, 3, 4\}$ , dropout rate in  $\{0.2, 0.3, ...,$ 0.5}, embedding size and number of hidden units in {128, 256, 512}, number of heads (for FAN) in {2, 4}, and learning rate in {0.00001, 0.0001, 0.001}. The weights of the word embeddings and output layer are shared (Inan et al., 2017; Press and Wolf, 2017). Models are optimized by Adam (Kingma and Ba, 2015).

This looks OK to me... but I haven't worked with transformers previously. Is this a fair comparison? Are there common training tricks omitted here?



The cat is in the kitchen.

Distance = 1

The **cat** that hasn't been sleeping well this week **is** in the kitchen.

Distance = 8

Points:

- The number prediction (singular vs. plural) tracks well between the models
- The LSTM language model is much better over longer distances (remember the embeddings are shared between models...)
- Authors: "[better language model results] may be due to better model optimization and to the embedding-output layer weight sharing"





The **bus** always **comes** late.

Attractors = 0

The **bus** that has a broken *windshield* always **comes** late.

Attractors = 1

Points:

- LSTM strongly out performs the FAN here
- Not clear *a priori* why that should be
- Authors: "[it's possible] human memory limitations give rise to important characteristics of natural language, including its hierarchical structure. ... by compressing the history into a single vector before making predictions, LSTMs are forced to better learn the input structure."

@rctatman



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## Logical Inference

*not*}. The task consists of predicting one of seven mutually exclusive logical relations that describe the relationship between a pair of sentences: entailment ( $\Box$ ,  $\exists$ ), equivalence ( $\equiv$ ), exhaustive and non-exhaustive contradiction ( $^{\land}$ , |), and two types of semantic independence (#,  $\bigcirc$ ). We generate 60,000 samples<sup>3</sup> with the number of logical operations ranging from 1 to 12. The train/dev/test dataset ratios are set to 0.8/0.1/0.1. Here are some samples of the training data:

 $(d(or f)) \sqsupset (f(and a))$ (d(and(c(or d)))) # (not f) (not(d(or(f(or c))))) \Box (not(c(and(not d))))

#### Similar Natural language examples:

Glen and Amber ate peaches CONTRADICTS Neither Glen nor Amber ate peaches Glen and Amber ate peaches IS EQUIVALENT TO Amber and Glen ate peaches Glen and Amber ate peaches ENTAILS THAT Glen ate peaches Amber ate a peach IS ENTAILED BY Glen and Amber ate peaches Glen and Amber ate peaches IS INDEPENDENT OF WHETHER Ben is at the zoo

In the natural language example, the task would be to predict the UPPER CASE phrase given both sentences. (Note that only the symbolic artificial data was used in the experiment.)



#### More examples from Bowman et al 2015

A man inspects the uniform of a figure in some East Asian country.	<b>contradiction</b> CCCCC	The man is sleeping
An older and younger man smiling.	<b>neutral</b> N N E N N	Two men are smiling and laughing at the cats play- ing on the floor.
A black race car starts up in front of a crowd of people.	<b>contradiction</b> CCCCCC	A man is driving down a lonely road.
A soccer game with multiple males playing.	<b>entailment</b> E E E E E	Some men are playing a sport.
A smiling costumed woman is holding an umbrella.	<b>neutral</b> N N E C N	A happy woman in a fairy costume holds an umbrella.

This corpus (SNLI) based on human annotations.



Figure 4: Results of logical inference when training on all data (a) or only on samples with at most nlogical operators (b). Number of logical operators:

(d(orf)) □ (f(and a)) = 2 (d(and(c(ord))))#(notf) = 3

- Used same architecture as Bowman et al 2015, nothing really new there
- Similar accuracy when trained on all data, but RNN generalizes better
- Authors: "Concurrently to our work Evans et al. (2018) proposed an alternative data set for logical inference and also found that a FAN model underperformed various other architectures including LSTMs."
- Why? ¯\\_(ツ)\_/¯





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# Discussion

- But WHY?
  - Possible that compression to a single vector compresses history in the same way that humans due (due to memory limitations)
  - I Sempiricism... but I'd really, really like to see more theoretical results around deep learning (like "<u>Neural Networks Should Be Wide Enough to Learn Disconnected Decision Regions</u>" at ICML 2018)
- It's possible that LSTMs are doing better because they've been around longer & we've learned more tricks for getting them to work well...
  - Would a weighted transformer have done better?
  - Authors also didn't look at convolutional models (like <u>ConvS2S</u>)
- Your thoughts!



# Thanks! Other questions?

Slides on my website :) http://www.rctatman.com/talks/

